

32692
Customer NumberRECEIVED
CENTRAL FAX CENTER

DEC 19 2006 Patent Case No.: 56233US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ALLEN, RICHARD C.

Application No.: 09/965417 Confirmation No.: 3811

Filed: September 27, 2001

Title: METHODS OF MAKING POLARIZATION ROTATORS AND ARTICLES
CONTAINING THE POLARIZATION ROTATORSINFORMATION DISCLOSURE STATEMENTMail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]	
I hereby certify that this correspondence is being:	
<input type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
<input checked="" type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.	
Date	Signed by: Kim Elfstrom

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IIPW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

RECEIVED
CENTRAL FAX CENTER

Application No.: 09/965417

DEC 19 2006

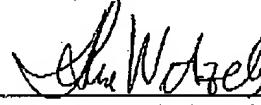
Case No.: 56233US002

Fees: Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.

One copy of this sheet marked duplicate is also enclosed.

 Any required fee will be paid at the time of EFS-Web submission. If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

By: Anna A. Wetzels, Reg. No.: 53,146
Telephone No.: 651-737-8345

Date

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

DEC 19 2006

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number 09/965417

Filing Date September 27, 2001

First Named Inventor Allen, Richard C.

Confirmation Number 3811

Attorney Case Number 56233US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number Doc. Number-(Kind Code If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 4241984	12-30-84	Leibowitz	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document Ctry. Code	Number-KindCode (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)